

**The Helix Dynamics Advisors Group**

# **Modern Slavery Statement**

**Version: 2020/HDA/MSS/004  
Date: 5<sup>th</sup> November 2020**

# Modern Slavery Statement

**Last Revision Date: November, 2020**

This slavery and human trafficking statement is made by Helix Dynamics Advisors Group pursuant to section 54 of the Modern Slavery Act 2015 (the "Act") for the financial year ending on 31 December 2020. It sets out the steps taken by Helix Dynamics Advisors Group to ensure that slavery and human trafficking are not present in our business or direct supply chain.

## About Helix Dynamics Advisors Group

Kroll is the leading global provider of advisory services related to Ethics, Integrity and Compliance, Business Intelligence, Transaction Support, Strategic Advisory and Global Development services at the global level. Also, Helix Dynamics Advisors Group is providing specialized compliance services, i.e. due diligence and sanctions analysis and monitoring via the robust and state of the art RisksChecks Compliance Suite of services. Helix Dynamics Advisors is operating in a number of countries via local client facing operations and research hubs in other countries to serve our global clientele.

## Our Supply Chain

At Helix Dynamics Advisors Group, we consider ourselves to be relatively low risk in relation to modern slavery, given the services we provide and the type of suppliers we use. Our key supply chain comprises our global network of service and information providers, many of whom are highly skilled experts and industry specialists. We periodically review our use of suppliers and, as necessary, adapt our processes if we determine that our risk has changed.

## Our Approach to Combatting Modern Slavery

Helix Dynamics Advisors Group is committed to conducting business in a responsible and ethical manner. We have a zero-tolerance approach to modern slavery and expect the same high standards and commitment from those we do business with.

Helix Dynamics Advisors Group's internal policies and procedures aim to ensure that effective systems and controls are in place to prevent slavery and human trafficking from occurring in any part of our business. Helix Dynamics Advisors Group's Business Partners Code of Conduct and Ethical Standards and Conduct promotes a culture of integrity by establishing principles for how we deal with our clients, employees and the public.

Amongst other things, the values and rules set out in the Code encourage us to foster a safe, healthy and productive workplace and to maintain accountability at all levels of the organisation. Employees are encouraged to report any concerns they may have, including in relation to the occurrence of slavery or human trafficking, either to management or through an anonymous ethics helpline.

Suppliers forming a key part of Helix Dynamics Advisors Group's supply chain, for example, those who assist us in our provision of services to clients, undergo a standardized vetting process, with the use of template agreements and a standard questionnaire to gather relevant information. The Helix Dynamics Advisors Group Ethics, Integrity and Compliance business also runs due diligence reports on all of these suppliers as part of the vetting process. All suppliers must be vetted and approved before they can be used on any engagement. The vetting process is designed as a risk mitigation measure to provide the best service for our clients and to protect and enhance the Helix Dynamics Advisors Group brand. Helix Dynamics Advisors Group has updated its UK supplier documentation to specifically refer to the Act and now requires each supplier to certify that they comply with the Act or equivalent principles.

Harry Stavrinides,

Group CEO and Managing Director

5<sup>th</sup> November 2020